

1 UNITED STATES COURT OF APPEALS  
2 FOR THE SECOND CIRCUIT  
3

4 THIS SUMMARY ORDER WILL NOT BE PUBLISHED IN THE FEDERAL REPORTER  
5 AND MAY NOT BE CITED AS PRECEDENTIAL AUTHORITY TO THIS OR ANY  
6 OTHER COURT, BUT MAY BE CALLED TO THE ATTENTION OF THIS OR ANY  
7 OTHER COURT IN A SUBSEQUENT STAGE OF THIS CASE, IN A RELATED CASE, OR  
8 IN ANY CASE FOR PURPOSES OF COLLATERAL ESTOPPEL OR RES JUDICATA.  
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10 At a stated term of the United States Court of Appeals for the Second Circuit, held at the  
11 Thurgood Marshall United States Courthouse, at Foley Square, in the City of New York, on the  
12 2<sup>nd</sup> day of August, two thousand and six.  
13

14 PRESENT: HONORABLE THOMAS J. MESKILL,  
15 HONORABLE ROSEMARY S. POOLER,  
16 HONORABLE PETER W. HALL,  
17 *Circuit Judges.*  
18 ----- x

19 AMADOR YOUNG,  
20

21 *Plaintiff-Appellant,*  
22

23 -v.-

No. 05-1790-pr

24  
25 GLENN GOORD, COMMISSIONER OF THE NEW YORK  
26 STATE DEPARTMENT OF CORRECTIONAL SERVICES,  
27 ANTHONY ANNUCCI, COUNSEL AND DEPUTY  
28 COMMISSIONER FOR THE NEW YORK STATE DEPARTMENT  
29 OF CORRECTIONAL SERVICES, CAPTAIN DIRIE,  
30 SUPERINTENDENT AT ARTHUR KILL CORRECTIONAL  
31 FACILITY, DENNIS BRESLIN, SUPERINTENDENT AT  
32 ARTHUR KILL CORRECTIONAL FACILITY, SGT. OCHAL,  
33 CORRECTION SERGEANT AT ARTHUR KILL CORRECTIONAL  
34 FACILITY, DANIEL CRUM, CORRECTION OFFICER AT ARTHUR  
35 KILL CORRECTIONAL FACILITY,  
36

37 *Defendants-Appellees.*  
38 ----- x  
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40 For Plaintiff-Appellant: Mitchell A. Karlan, New York, NY.  
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42 For Defendants-Appellees: Shaifali Puri, Assistant Solicitor General, New York, NY.  
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1           **UPON CONSIDERATION WHEREOF, IT IS HEREBY ORDERED, ADJUDGED**  
2           **AND DECREED** that the judgment of said District Court be and it hereby is **AFFIRMED**.

3           Plaintiff-appellant Amador Young commenced this action pro se on February 1,  
4           2001, in the United States District Court for the Eastern District of New York (Gleeson, J.),  
5           alleging violations of the Free Exercise Clause of the First Amendment and the Equal Protection  
6           Clause of the Fourteenth Amendment to the United States Constitution, pursuant to 42 U.S.C.  
7           § 1983. On September 3, 2002, the district court granted defendants-appellees' motion to  
8           dismiss for failure to state a claim. See Young v. Goord, 2002 U.S. Dist. Lexis 17715 (E.D.N.Y.  
9           Sept. 3, 2002). Young appealed, and on May 29, 2003, we vacated in part and affirmed in part  
10          the judgment of the district court. See Young v. Goord, 67 Fed. Appx. 638 (2d Cir. 2003). With  
11          the assistance of appointed counsel, Young filed an amended complaint on April 9, 2004. On  
12          March 10, 2005, the district court granted appellees' motion to dismiss the amended complaint.  
13          See Young v. Goord, 2005 U.S. Dist. Lexis 3641 (E.D.N.Y. Mar. 10, 2005). We assume the  
14          parties' familiarity with the facts, procedural history, and specification of issues on appeal.

15          We first address Young's argument that the district court erred in dismissing his  
16          due process claim on the ground that defendants were entitled to qualified immunity. The  
17          qualified immunity doctrine shields government officials performing discretionary functions  
18          from having to stand trial "insofar as their conduct does not violate clearly established statutory  
19          or constitutional rights of which a reasonable person would have known." Harlow v. Fitzgerald,  
20          457 U.S. 800, 818 (1982). A right is "clearly established" when "[t]he contours of the right [are]  
21          ... sufficiently clear that a reasonable official would understand that what he is doing violates  
22          that right." Anderson v. Creighton, 483 U.S. 635, 640 (1987). The question is not what the

1 officials actually believed, but whether “their actions could reasonably have been thought  
2 consistent with the rights they are alleged to have violated.” Id. at 638 (emphasis added).

3 A “more stringent standard” is applied when a defendant asserts qualified  
4 immunity as a ground for dismissal pursuant to Federal Rule of Civil Procedure 12(b)(6) in that  
5 “as with all Rule 12(b)(6) motions, the motion may be granted only where it appears beyond  
6 doubt that the plaintiff can prove no set of facts in support of his claim that would entitle him to  
7 relief.” McKenna v. Wright, 386 F.3d 432, 436 (2d Cir. 2004) (internal quotation marks  
8 omitted). The availability of the defense as a matter of law, then, turns on whether the plaintiff  
9 could possibly prove any set of facts that would undermine the objective reasonableness of  
10 defendants’ actions. Id. In other words, the defense must be “based on facts appearing on the  
11 face of the complaint.” Id.

12 Young claims his punishment violated two fundamental principles of due process.  
13 First, no person can be punished without “fair warning of what was proscribed,” Deegan v. City  
14 of Ithaca, 444 F.3d 135, 145 (2d Cir. 2006) (internal quotation marks omitted), and any warning  
15 that would require an inmate to “perform[] the lawyer-like task of statutory interpretation by  
16 reconciling the text of three separate documents” to discern whether his conduct is proscribed is  
17 “unfair,” Chatin v. Coombe, 186 F.3d 82, 89 (2d Cir. 1999) . Second, no person can be punished  
18 “because he has done what the law plainly allows him to do.” Bordenkircher v. Hayes, 434 U.S.  
19 357, 363 (1978).

20 We agree with Young that these principles are clearly established. However, on  
21 the facts as Young pleaded them in his amended complaint, we conclude that defendants could  
22 reasonably have believed their conduct did not violate either of these two principles and,

1 accordingly, that they are entitled to qualified immunity from suit as a matter of law. See  
2 Anderson, 483 U.S. at 638.

3           These are the salient facts as Young pleaded them, construed in the light most  
4 favorable to him. On February 18, 1997, pursuant to the then-applicable version of the New  
5 York State Department of Correctional Services (DOCS) Directive 4914, Young applied for and  
6 received an exemption from DOCS' beard-length policy based on his documented affiliation  
7 with the Rastafarian Church. On August 28, 2000, correctional officer Daniel Crum gave Young  
8 a memorandum indicating that Directive 4914 had been revised to reflect a change in federal law  
9 and then ordered Young to trim his beard. When Young refused, Crum issued a misbehavior  
10 report. At a hearing on September 1, 2000, the misbehavior report was dismissed and no  
11 discipline was imposed because, prior to the encounter with Officer Crum, "[Young] did not  
12 know that each inmate who is Rastafarian, Orthodox Jew, or Muslim has to have a court  
13 restraining order [to be exempt from the policy]."<sup>1</sup> The hearing officer noted that Young's  
14 understanding was "from previous directive revisions," under which members of certain religions  
15 "were exempt based on documented membership in these religions." (emphasis added.) After  
16 this hearing, Young twice disobeyed direct orders to trim his beard and was issued two more  
17 misbehavior reports, pursuant to which he was disciplined.

18           On these facts, we conclude that DOCS officials could reasonably have thought  
19 their actions consistent with Young's right to due process of the law. First, it is at least

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<sup>1</sup>Young's counsel at oral argument before the district court confirmed that the reason for the outcome of this first hearing was Young's lack of notice. Young has never suggested that he was told he was found not guilty because he was correct in maintaining that he was exempt from the beard-length policy.

1 reasonable to read Rule 110.32 not to create a substantive right to a religious exemption from the  
2 beard-length policy so that there is no inconsistency between it and Directive 4914.<sup>2</sup> Nothing in  
3 the Rule implies that once an inmate has requested and received an exemption, DOCS cannot  
4 revoke it (provided, of course, that no other law independently guarantees the substantive right to  
5 the exemption). Nor does the Rule itself describe the process whereby such exemptions are  
6 granted or revoked.

7           Thus, no “clearly established” law would foreclose DOCS officials’ reasonable  
8 belief<sup>3</sup> that they effectively and validly revoked Young’s exemption when they personally  
9 informed him of the change in policy such that his previously granted exemption was no longer  
10 valid — first through Officer Crum’s giving Young a copy of the memo explaining the policy  
11 change, and second through the hearing on his first misbehavior report. Then it would follow  
12 that Rule 110.32 itself, not the Directive or a memo, proscribed Young’s continued refusal to  
13 trim his beard. Disciplining Young for his failure to comply with the Rule, then, would  
14 reasonably be understood to constitute neither punishing him for what the law allowed him to do,  
15 see Bordenkircher, 434 U.S. at 363, nor punishing him for violating a Directive, see Chatin, 186  
16 F.3d at 88 (“[T]he uncontested evidence at trial was that an inmate may not be punished for  
17 violating a Directive.”).

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<sup>2</sup>We note that our decision encompasses only the reasonableness of defendants’ so  
construing the Rule and Directive. We do not imply that it would have been reasonable to expect  
Young on his own to put the documents together in this way.

<sup>3</sup>Whether and how the exemption was actually revoked is a question we need not decide  
for purposes of qualified immunity analysis. We need only be satisfied that defendants could  
reasonably have believed that their actions effected a valid revocation.

1 Defendants would have been further justified in believing that the first hearing  
2 provided Young more than “fair warning of what was proscribed” before he was disciplined,  
3 Deegan, 444 F.3d at 145, because its disposition informed Young personally that DOCS  
4 considered his beard length no longer permissible. These officials could reasonably believe that  
5 their actions comported with our decision in Chatin because they personally notified Young,  
6 before he was disciplined, of the change effected by the revised Directive and an implementing  
7 Memorandum; they did not expect Young himself to “reconcil[e] the text” of these different  
8 documents. Chatin, 186 F.3d at 89.

9 If Young was entitled to any further process of law before discipline was justified  
10 -- and on that issue we express no opinion -- that right was certainly not “clearly established” by  
11 the time of the challenged actions. That being so, the defendant officials are entitled to qualified  
12 immunity from suit. See Anderson, 483 U.S. at 640. Because no set of facts Young could prove  
13 would undermine the objective reasonableness of defendants’ actions, the defense was properly  
14 granted as a matter of law and the amended complaint dismissed pursuant to Rule 12(b)(6).

15 Having concluded that the defendant officials are entitled to qualified immunity  
16 from suit, we need not decide whether any less than “clearly established” due process rights were  
17 violated. Accordingly, for the reasons given in this order, we hereby **AFFIRM** the decision of  
18 the district court.

19 FOR THE COURT:  
20 ROSEANN B. MACKECHNIE, CLERK  
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By: Oliva M. George, Deputy Clerk